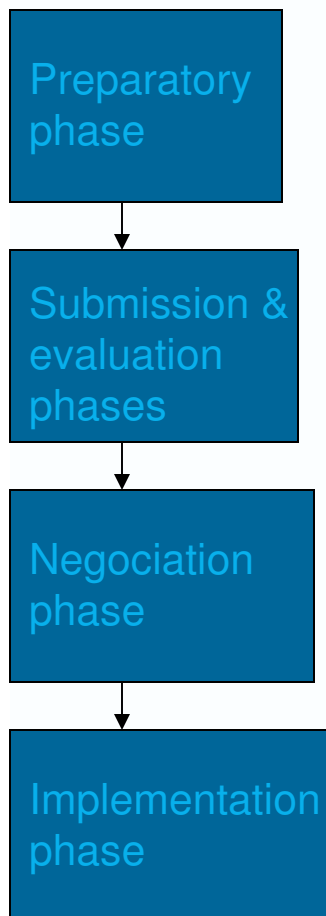


FP7 Participation rules

EUROQUALITY

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Content



- Minimum conditions for participation.
- Procedures.
 - Calls for proposals.
 - Submission & Evaluation.
 - Implementation and Grant Agreements.
- Consortia requirements.
- Community financial contribution.
- Dissemination, use and access rights (IPR).

Minimum conditions for participation

Preparatory
phase

General - Who can participate?

Private company, public organisation (University, Research centre) or individual organisation in:

- Member States (MS) of the EU-27.
- Associated countries (AC): Turkey (01/06/2007), Islande (2003), Liechtenstein (2003), Norway (2003), Israël, Switzerland (25/06/2007), Croatia (13/06/2007), Former Republic of Macedonia (13/06/2007), Serbia (13/06/2007), as well as Albania and Montenegro (01/01/2008).
- Third countries = International Cooperation Partner Countries (ICPC) including Bosnia and Herzegovina.

Minimum conditions for participation

Preparatory
phase

General - Who can participate?

Private company, public organisation (University, Research centre) or individual organisation in:

- Three independent participants from three different Member States (MS) or Associated countries (AC).
- Natural persons may participate.
- Additional conditions can be established by the work programme or specific programme (i.e. number of participants, place of establishment, type of participant).

Minimum conditions for the participation

Preparatory
phase

Specific cases

- For CSA and action in favour of training and career development of researchers: at least one legal entity established in a Member State or Associated country.
- SICA call for proposals: collaborative projects addressing the participation of ICPC in parity with MS or AC – minimum is four participants of which 2 in MS or AC and 2 in ICPC countries.
- Participation of international organisations and participants from third countries if in addition to minima.

Procedures: Calls for Proposals

Preparatory
phase

Calls for proposals define:

- **Objectives** of the call.
- **Context** of the call (policy context, approach, structure of the Work Programme, Funding schemes, Int. Coop.).
- **Content** of the call (Activities/Areas and Topics eligible to the call).
- **Implementation** of calls (timetable of deadlines connected to Areas and Topics).

Submission & Evaluation

Submission:

- One or two-stage submission.
- Project proposals are submitted electronically via EPSS (Electronic Proposal Submission Service) by the project coordinator.

Submission &
evaluation
phases

Evaluation:

- Evaluation criteria established in Specific Programmes and Work programmes.
- Transparent, fair and impartial evaluation procedures with help of independent experts.

Evaluation Criteria

Selection and award criteria are set out in the work programme and specific programme

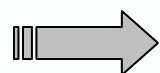
Submission &
evaluation
phases

General evaluation criteria:

- Scientific and/or technological excellence.
- Relevance to the objective of the specific programme.
- Potential impact through the development, dissemination and use of the projects results.
- The quality and efficiency of the implementation and management.

Proposal scoring

Experts examine the issues to be considered (evaluation criteria) and score them on a scale from 0 to 5. Threshold are set and proposal failing to achieve them are rejected.



Evaluation Summary Report (ESR)

Submission &
evaluation
phases

- 0 - *The proposal fails to address the criterion under examination or cannot be judged due to missing or incomplete information*
- 1 - *Very poor. The criterion is addressed in a cursory and unsatisfactory manner.*
- 2 - *Poor. There are serious inherent weaknesses in relation to the criterion in question.*
- 3 - *Fair. While the proposal broadly addresses the criterion, there are significant weaknesses that would need correcting.*
- 4 - *Good. The proposal addresses the criterion well, although certain improvements are possible.*
- 5 - *Excellent. The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.*

Implementation and Grant Agreement

General rules:

- Participants implement the work jointly. In the case of a defaulting partner, participants will carry out its work (without additional fundings) unless the EC relieves them of that obligation (technical responsibility).
- Financial collective responsibility does not apply in FP7.
- If the implementation of the project is impossible or participants fail to implement it, the EC shall ensure its termination.
- Changes in consortium membership are possible.

Negotiation
phase

Implementation and Grant Agreement

Model grant agreement to be drawn up :

- To establish rights and obligations of participants (including submission of reports, termination etc.);
- Identify EC financial contribution;
- Identify which changes in composition of the consortium require prior publication of a competitive call, and;
- Specific provisions for certain types of actions (IPR particularly).
- Grant agreement comes into force upon signature by the coordinator and the EC and applies to each participant that has acceded to it.

Negotiation
phase

Implementation and Grant Agreement

Model grant agreement - step by step :

- Step 1: Validation of all the partners (to provide financial and administrative information on your organisation).
- Step 2: To complete the Grant Preparatory Form (GPF).
- Step 3: Grant Agreement signed between the EC and the project coordinator.
- Step 4: FORM A signed by all the project partners.
- Step 5: Transfer of the first pre-financing to the coordinator.

Negotiation
phase

Consortia requirements

Consortium Agreement

- Project partners have to sign Consortium Agreement prepared by the Coordinator.
- Consortium Agreements are obligatory unless exempted by call for proposals.
- It notably sets:
 - ↳ the internal organisation of the consortium,
 - ↳ the distribution of the Community financial contribution,
 - ↳ rules on dissemination, use and access rights.

Negotiation
phase

Consortia requirements

Role of the project coordinator

- Technical and financial management of the project.
- Intermediary and distributor of co-financing funds of EC.
- Direct intermediary of the EC for good communication between EC and project partners.

Implementation
phase

Intellectual Property Provisions

The definitions:

- **Background** is pre-existing know-how:
 - held by participants prior to their accession to the grant agreement,
 - needed for carrying out the project or for using its results,
 - that may be defined by the participants in the Consortium Agreement.
- **Foreground** is all knowledge, results of the project and attached rights.

Implementation
phase

Intellectual Property Provisions

Ownership: each participant owns the foreground it generates

Joint ownership: (in absence of specific agreement default joint ownership regime applies) any owner can grant non-exclusive licenses to third parties, subject to prior notification and fair and reasonable compensation to the other owner(s) with no right to sub-licence.

Transfer of ownership of foreground: Prior notification only to the other participants.

Requirement to notify EC establishes in the Grant Agreement.

Implementation
phase

EC may object to transfers or exclusive licenses given to third countries if they are contrary to ethical or competitiveness principles

Intellectual Property Provisions

Protection, use, dissemination, publication

- Foreground capable of industrial or commercial application shall be protected.
- Owner of foreground must transfer it to another participant or to the EC if it does not wish to protect it.
- Foreground must be used and disseminated.
- Prior notice of dissemination (including publication) must be given to the other participants concerned.
- Publications and patent applications must indicate the Community financial contribution.

Implementation
phase

More information

Rules for Participation in FP7 - Regulation (Ec) No 1906/2006 of the European Parliament

http://cordis.europa.eu/fetch?ACTION=D&SESSION=&DOC=1&LAN=EN&RCN=8375&CALLER=FP7_LIB

To register as Independent Expert on Cordis

<http://cordis.europa.eu/emmp7/>

Protection, use, dissemination, publication
Official website of FP7

http://cordis.europa.eu/fp7/participate_en.html

IPR helpdesk

<http://cordis.europa.eu/ipr-helpdesk/en/home.html>

Thank you very much for your attention

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